

# UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION REPORTS

Last Name	First Name and Middle Initial	Annual Report Calendar Year Covered by Report:	Senate Office / Agency in Which Employed
Smith	Gordon H.	2008	U.S. Senator
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report Termination Date (mm/dd/yy):	Prior Office / Agency in Which Employed
United States Senate Washington, D.C. 20510	(202) 224-3753		



## AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, Complete and Attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$305 from one source)? If Yes, Complete and Attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, Complete and Attach PART VII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, Complete & Attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, Complete and Attach PART VIII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, Complete and Attach PART IV.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, Complete and Attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$305 and not otherwise exempt)? If Yes, Complete and Attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, Complete and Attach PART X.	<input type="checkbox"/>	<input type="checkbox"/>

Each question must be answered and the appropriate PART attached for each "YES" response.

File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. \$200 Penalty for filing more than 30 days after due date.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 6, 104, and 18 U.S.C. 1001.)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		4 May '09
For Official Use Only - Do Not Write Below This Line		
It is the Opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.	Signature of Reviewing Official	Date (Month, Day, Year)
		

FOR OFFICIAL USE ONLY  
Do Not Write Below this Line

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OFFICE OF THE SECRETARY OF THE SENATE

Reporting Individual's Name  
 Gordon H. Smith

**PART II. EARNED AND NON-INVESTMENT INCOME**

 Page Number  
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Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

**Individuals not covered by the Honoraria Ban:**

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Name of Income Source		Address (City, State)	Type of Income	Amount
Example:	JP Computers	Wash., DC	Salary	Example \$15,000
	MCI (Spouse)	Arlington, VA	Salary	Example Over \$1,000
1	Smith Food Sales, Inc. (spouse)	Pendleton, Oregon	Salary	Over \$1,000
2	Smith Food Sales, Inc. (spouse)	Pendleton, Oregon	Directors Fees	Over \$1,000
3	Smith Frozen Foods, Inc. (spouse)	Weston, Oregon	Directors Fees	Over \$1,000
4				
5				
6				
7				
8				
9				
10				
11				
12				
13				

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



Reporting Individual's Name

Gordon H. Smith

**PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

Page Number

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**BLOCK A  
Identity of Publicly Traded Assets  
And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or  
(2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**BLOCK B  
Valuation of Assets**

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

**BLOCK C  
Type and Amount of Income**

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

**Type of Income****Amount of Income**

	BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources	BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income										Actual Amount Required if "Other" Specified										
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)		None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000
	Example: S, IBM Corp. (stock) or J (S) Keystone Fund			X										X							Example	X										Example
1	Columbia River Bank S		X												X						Example	X										Example
2																																
3																																
4																																
5																																
6																																
7																																
8																																
9																																
10																																

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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## Addendum to United States Senate Public Financial Disclosure Report

Senator Gordon H. Smith

December 31, 2008

## Part IIIA. Publicly Traded Assets and Unearned Income Sources

The following assets relate to retirement accounts:

Smith Barney - SEP-IRA Sharon Smith - Value as of December 31, 2008 was \$15,001 - 50,000. Interest, dividends and capital gains income for 2008 was \$201 - 1,000.

Mutual Fund - AmCap Fund, Inc.	\$1,001 - 15,000
Legg Mason Financial Services	\$1,001 - 15,000
UTS Uncommon Values	\$1,001 - 15,000
Janus Advisor Forty Fund	\$1,001 - 15,000
Legg Mason Aggressive Growth	\$1,001 - 15,000
Income Fund of America	\$1,001 - 15,000
Bank deposit	\$1,001 - 15,000
Total	<u>\$50,001 - 100,000</u>

Smith Barney - SEP-IRA Gordon Smith - Value as of December 31, 2008 was \$15,001 - 50,000. Interest, dividends and capital gains income for 2008 was \$201 - 1,000.

Mutual Fund - AmCap Fund, Inc.	\$1,001 - 15,000
Janus Advisor Forty Fund	\$1,001 - 15,000
Bank deposit	\$1,001 - 15,000
Total	<u>\$15,001 - 50,000</u>

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## Addendum to United States Senate Public Financial Disclosure Report

Senator Gordon H. Smith

December 31, 2008

## Part IIIA. Publicly Traded Assets and Unearned Income Sources

The following assets relate to retirement accounts:

Smith Barney - SEP-IRA Sharon Smith - Value as of December 31, 2008 was \$38,228.  
Return for 2008 was \$993.

Mutual Fund - AmCap Fund, Inc.	\$ 9,744
Legg Mason Financial Services	4,529
UTS Uncommon Values	3,560
Janus Advisor Forty Fund	3,658
Legg Mason Aggressive Growth	3,105
Income Fund of America	1,423
Bank deposit	<u>12,209</u>
Total	<u>\$ 38,228</u>

Smith Barney - SEP-IRA Gordon Smith - Value as of December 31, 2008 was  
\$20,454. Return for 2008 was \$587.

Mutual Fund - AmCap Fund, Inc.	\$ 7,382
Janus Advisor Forty Fund	2,287
Bank deposit	<u>10,785</u>
Total	<u>\$ 20,454</u>

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Reporting Individual's Name		PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES																				Page Number											
Gordon H. Smith																						5											
BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets								BLOCK C Type and Amount of Income																							
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		At the close of reporting period. If None, or less than \$1,001, Check the first column.								If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																							
										Type of Income												Amount of Income											
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
Example: S, DC, or J	JP Computer, Wash DC			X										X							Example	X										Example	
	Undeveloped land, Dubuque, Iowa				X																Example	X										Example	
1	Smith Frozen Foods, Inc., Weston, OR, Processor of frozen vegetables and investors in non-reportable personal property. Files as an S Corporation J									X				X	X	X					Sub S reprot on pers return										X		
2	Note receivable - Smith campaign								X							X					X												
3	Beneficial Life Insurance Co., Salt Lake City, UT Accumulation value S			X												X						X											
4	Northwestern Mutual Life Milwaukie, WI Cash Surrender Value				X											X									X								
5	Northwestern Mutual Life Milwaukie, WI Cash Surrender Value S				X											X							X										
6	Note receivable - Shannon		X													X						X											
7	Note receivable - Tubbs		X													X							X										
8																																	
9																																	
10																																	

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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